

DECLARATION

We, Edward A. Schroepel and Mark W. Kroll declare that we are citizens of
5 the United States of America having post office addresses and residing, respectively, at:

215 Dewberry Drive
Lake Jackson, Texas 77566

and

10 493 Sinaloa Road
Simi Valley, California 93065

that we verily believe that we are the original, first and joint inventors of the invention in:

Implantable Device and Method For The Electrical Treatment Of Cancer

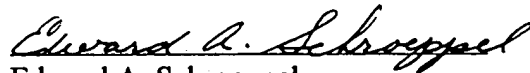
15

described and claimed in the attached specification, that we do not know and do not believe that
this invention was ever known or used in the United States before our invention thereof, or
patented or described in any printed publication in any country before our invention thereof, or
more than one year prior to this application, or in public use or on sale in the United States for
20 more than one year prior to this application; that this invention has not been patented or made the
subject of an inventor's certificate in any country foreign to the United States on an application
filed by us or our legal representatives or assigns more than twelve months before this application;
that we acknowledge a duty to disclose information to the Patent and Trademark Office that we
are aware of which is material to patentability as defined in 37 C.F.R. 1.56; and that no
25 application for patent or inventor's certificate on this invention has been filed by us or our
representatives or assigns or with our knowledge and consent in any country foreign to the United
States.

We declare further that all statements made herein of our knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Wherefore, having reviewed and understood the contents of the specification and claims, we hereby subscribe our names to the foregoing specification, claims, and declaration.

Dated: September 27, 2001



Edward A. Schroepel
Applicant
215 Dewberry Drive
Lake Jackson, Texas 77566

Dated: 9 Oct 2001



Mark W. Kroll
Applicant
493 Sinaloa Road
Simi Valley, California 93065

SUPPLEMENTAL DECLARATION

We, **Edward A. Schroepel** and **Mark W. Kroll** declare that we are citizens of the United States of America having post office addresses and residing, respectively, at:

215 Dewberry Drive
Lake Jackson, Texas 77566

and

493 Sinaloa Road
Simi Valley, California 93065

that we verily believe that we are the original, first and joint inventors of the invention in:

Implantable Device and Method For The Electrical Treatment Of Cancer

(application number 09/974,474 and originally given filing date 10/09/2001)

described and claimed in the referenced application and now supplemented by the submission of the missing Figure 33, that we do not know and do not believe that this invention was ever known or used in the United States before our invention thereof, or patented or described in any printed publication in any country before our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States for more than one year prior to this application; that this invention has not been patented or made the subject of an inventor's certificate in any country foreign to the United States on an application filed by us or our legal representatives or assigns more than twelve months before this application; that we acknowledge a duty to disclose information to the Patent and Trademark Office that we are aware of which is material to patentability as defined in 37 C.F.R. 1.56; and that no application for patent or inventor's certificate on this invention has been filed by us or our representatives or assigns or with our knowledge and consent in any country foreign to the United States. As this case is a

continuation-in-part of a co-pending application, which discloses and claims new subject matter beyond that found in the earlier case, we acknowledge our duty to disclose to the Office all information known to us to be material to patentability as defined by 37 CFR 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of
5 the continuation-in-part application.

We further declare that there is no material difference between the now submitted Figure 33 and the informal first figure of provisional filing 60/255,184 filed on 12 December 2000. And, we further declare that the Figure 33 can be generated from the written description of the instant
10 application even without reference to the provisional application.

We declare further that all statements made herein of our knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are
15 punishable by fine or imprisonment or both under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Wherefore, having reviewed and understood the contents of the specification and
20 claims, we hereby subscribe our names to the foregoing specification, claims, and declaration.

Dated: December 8, 2001

Edward A. Schroepel
Edward A. Schroepel
Applicant
215 Dewberry Drive
Lake Jackson, Texas 77566

5

Dated: 13 Dec 2001

Mark W. Kroll
Mark W. Kroll
Applicant
493 Sinaloa Road
Simi Valley, California 93065

10

15

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

PATENTS ONLY

Tab settings ⇐ ⇐ ⇐ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Edward Schroepel
Mark KrollAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: 6 and 16 Feb 2002

2. Name and address of receiving party(ies)

Name: Onco Stim

Internal Address: _____

Street Address: 6427 Ithaca
Lane N

City: Maple Grove State: MN Zip: 55311

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/974,474

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark Kroll

Internal Address: _____

Street Address: 493 Sinaloa Rd-

City: Simi Valley State: CA Zip: 93065

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number: _____

DO NOT USE THIS SPACE

9. Signature.

Mark Kroll

Name of Person Signing



Signature

17 Feb 2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, we **Edward A. Schroepel and Mark W. Kroll** have invented certain new and useful inventions and improvements in **Implantable Device and Method For The Electrical Treatment Of Cancer**, for which an application for Letters Patent of the United States is being made, said application having been filed with the United States Patent and Trademark Office on 14 December 2001 and identified by Serial No.:09/974,474.

WHEREAS, **OncoStim.**, a corporation organized and existing under the laws of the state of Minnesota and having its principal offices at Maple Grove, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said invention, said application and any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of certain good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred, and by this Assignment do hereby sell, assign and transfer unto the Assignee, its successors and assigns, our entire right, title and interest in and to any and all inventions and improvements disclosed in the aforesaid application, and in and to said application, all divisions, continuations or renewals thereof, and any and all Letters Patent, both foreign and domestic, that issue therefrom, including all reissues or extensions of such Letters Patent and including, all of our rights under the International Convention, and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee in accordance herewith.

We hereby authorize the Assignee, its successors and assigns, or anyone it may properly designate, to insert in this Assignment the filing date and serial number of said application when ascertained.

We hereby further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign

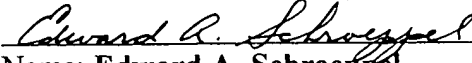
countries, and additionally to claim the filing date of said application and/or otherwise take advantage of the provisions of the International Convention.

We hereby covenant and agree with the Assignee, its successors and assigns, that we will not execute in writing or do any act whatsoever conflicting with this Assignment, and that we, or our executors or administrators, will at any time upon request, without further or additional consideration, but at the expense of Assignee, its successors and assigns, execute such additional writings and do such additional acts as Assignee, its successors and assigns, may deem necessary or desirable to perfect Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisions, reissued or extended Letters Patent of the United States, or of any and all foreign countries on said invention, and in enforcing any rights occurring as a result of such applications or Letters Patent, by giving testimony in any proceedings or transactions involving such applications or Letters Patent.


We hereby further covenant and agree with the Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that the full right and title to convey the same as herein expressed is currently possessed by us.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seal as dated below.

Date February 6, 2002


Name: **Edward A. Schroepfel**

Date 16 Feb 2002


Name: **Mark W. Kroll**

**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	09/974,474
Filing Date	12/14/2001
First Named Inventor	Schroeppel, Edward A.
Title	IMPLANTABLE DEVICE AND METHOD FOR THE ELECTRICAL TREATMENT OF CANCER
Group Art Unit	3762
Examiner Name	
Attorney Docket Number	3102.003

I hereby appoint:

☒ Practitioners at Customer Number

26375

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

OR

Place Customer
Number Bar Code
Label here☐ Firm or
Individual Name

SINSHEIMER, SCHIEBELHUT & BAGGETT

Address 1010 Peach Street

Address Post Office Box 31

City San Luis Obispo State CA Zip 93406

Country US

Telephone (805) 781-2865 Fax (805) 541-2802

I am the:

☒ Applicant/Inventor☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name Edward A. Schroepel

Signature *Edward A. Schroepel*

Date 12/28/02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 2 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

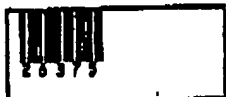
Please type a plus sign (+) inside this box → 

PTO/SB/01 (02-01)
 Approved for use through 10/31/2002. OMB 0651-0036
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	09/874,474
	Filing Date	12/14/2001
	First Named Inventor	Schroepel, Edward A.
	Title	IMPLANTABLE DEVICE AND METHOD FOR THE ELECTRICAL TREATMENT OF CANCER
	Group Art Unit	3782
	Examiner Name	
	Attorney Docket Number	3102.003

I hereby appoint:

☒ Practitioners at Customer Number 26375 → 

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number →

OR

Place Customer
Number Bar Code
Label here


<input type="checkbox"/> Firm or Individual Name	SINSHEIMER, SCHIEBELHUT & BAGGETT				
Address	1010 Peach Street				
Address	Post Office Box 31				
City	San Luis Obispo	State	CA	Zip	93406
Country	US				
Telephone	(805) 781-2885	Fax	(805) 541-2802		

I am the:

☒ Applicant/Inventor

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
 Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/06).

SIGNATURE of Applicant or Assignee of Record

Name	Mark W. Kroll
Signature	
Date	27 Dec 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 2 forms are submitted.

Burden Hour Statement: This form is estimated to take 5 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.